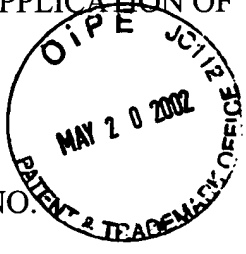


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF : Diane Smith
FOR : Software for Financial Institution Monitoring and
Management.
SERIAL NO. : 09/965,981
FILED : September 28, 2001
EXAMINER : Unknown
ART UNIT : Unknown
ATTORNEY DOCKET NO. : 47117/14489

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Technology Center 2100



Cleveland, Ohio 44115-1475
May 15, 2002

PRELIMINARY AMENDMENT

Assistant Commissioner of Patents
BOX Non-Fee Amendment
Washington, DC 20231

Dear Sir:

Prior to examining this application, please enter the following amendment.